

Important Notice

Cessation of Business

Dear Valued Customer(s),

After careful consideration, we regret to inform you that MTF Securities Limited (“the Company”) intends to cease business with effect from 6th March 2022 (“Date of Cessation”), subject to approval by the Securities and Futures Commission (“SFC”).

In connection with the cessation of business, the Company will notify SFC and request for revocation of its licence with “Type 1” regulated activities, as required under Securities and Futures Ordinance.

Meanwhile, in line with the decision of cessation of business, the Company has made arrangements to return and/or transfer your assets (including cash and/or securities) in your trading account with the Company and to close your account before the Date of Cessation. Please refer to the attached “APPENDIX” for detailed arrangements.

In the case of those clients who cannot be contacted before the Date of Cessation, we may appoint a law firm as escrow agent and transfer all these trading accounts to such escrow account. Extra escrow agent fees and account maintenance fees will be charged after the Date of Cessation. Please refer to the attached “APPENDIX” for the details of itinerary and fee schedule.

Finally, we thank you for your support to the Company. In the interim, we will do our best to return/transfer your assets smoothly and safely. We truly appreciate your kind understanding and cooperation on this matter.

Should you have any queries, please do not hesitate to contact our Responsible Officer, Kenju OGYU at (852) 3565 1816 or email to admin@mtf.hk.

Sincerely yours,

For and on behalf of
MTF Securities Limited

Kenju OGYU
Executive Director,
Responsible Officer (CE: ADQ016)

Date: 14 January 2022

重要通知 結束營業

尊敬的客戶，

經慎重考慮後，我們遺憾地通知閣下，名泰富證券有限公司（“本公司”）擬自 2022 年 3 月 6 日（“結業日期”）起結業，但須經證券及期貨事務監察委員會（“證監會”）批准才最後決定。

就結業而言，本公司將根據《證券及期貨條例》的規定，通知證監會並要求撤銷其從事“第 1 類”受規管活動的牌照。

同時，根據結業決定，本公司已安排退款退貨及/或轉移您在本公司交易賬戶中的資產（包括現金及/或證券），並在結業日前取消您的賬戶，詳細安排見附件。

對於在終止日期之前無法聯繫到的客戶，我們會指定一家律師事務所作為託管代理，並將所有這些交易賬戶轉移到該託管賬戶。終止日期後將收取額外的託管代理費及賬戶維護費。詳情及費用請參閱附件。

最後，感謝您對本公司的支持。在此期間，我們將盡最大努力順利、安全地歸還/轉移您的資產。我們非常感謝您對此事的理解與合作。

如您有任何疑問，請隨時致電 (852) 3565 1816 或電郵至 admin@mtf.hk 聯繫我們的負責人員荻生謙樹先生。

謹代表

名泰證券有限公司

荻生謙樹

執行董事，

負責人員 (CE: ADQ016)

日期: 2022 年 1 月 14 日

Appendix:

Estimated Overall Itinerary of Cessation of Business

	Date (2022)	Itinerary	Notes
1	14 Jan 2022 – 31 Jan 2022	Services will be offered with a limited scope.	The Company accepts “sell orders” only. Transfer of cash/securities will be handled in usual manner.
2	01 Feb to 06 Mar	Conditionally accept sell orders and orders of transfer of cash/securities with charges	Special handling charges, account maintenance fees apply
3	06 Mar	Cessation of Business (the last day of business is 04 Mar)	
4	07 Mar onwards	Transfer all unclaimed assets in trading accounts to Escrow Account of solicitors’ firm for clients who cannot be contacted. No services in respect of dealing clients’ cash/securities will be available	Special handling charges, account maintenance fees apply ID card, address proof, bank ac details etc. are required for return of assets Escrow agent fees and account maintenance fees apply

Fee Schedule

1. No extra charges are required until 31st January 2022. Usual fees apply.
2. Extra charges will be applied from 1st February 2022. Minimum of HK\$500 for handling sell orders and 0.3% on gross trading amount/value if exceeding HK\$500. There will be additional service charges for transfer of cash/securities to 3rd party brokers/banks from 1st February to 6th March 2022. In addition, the Company will charge a minimum of HK\$200 or 0.25% of holding assets, whichever is higher, from the accounts as maintenance fees per month from 1st February to 6th March 2022.
3. For unclaimed assets transferred to the escrow account, escrow agent fee will be charged based on their fee structure. In addition, the Company will charge a minimum of HK\$200 or 0.25% of holding assets, whichever is higher, from the accounts as maintenance fees per month at the last business day of each month from 7th March 2022.
4. If so required by clients, the Company may arrange to send physical scripts via registered mail or by hand upon receipt of request on or before 6th March 2022. Relevant expenses including accident insurance fees shall be covered and paid by Clients and above-mentioned handling charges will be applied. However, handling charges may be waived if such instruction received on or before 31st January 2022.

End

附錄：

預計結業總行程

	日期(2022)	行程說明	事項
1	2022年1月14日 – 2022年1月31日	將提供有限範圍的服務	本公司僅接受“沽盤交易”。現金/證券的轉移將按常規方式處理
2	2月1日至3月6日	有條件地接受沽盤交易及現金/證券的轉移，需收取費用	收取特殊手續費、賬戶維護費
3	3月6日	結束營業 (最後工作日為3月4日)	
4	3月7日起	將交易賬戶中所有無法聯繫到的客戶無人認領的資產轉移到律師事務所的託管賬戶 將不提供處理客戶現金/證券有關交易的服務	收取特殊手續費、賬戶維護費 歸還資產時需提供身份證、地址證明、銀行賬戶等資料 收取託管代理費及賬戶維護費

費用表

1. 2022年1月31日之前無需支付額外費用，收取恆常費用。
2. 由2022年2月1日起收取額外費用。沽盤交易最少收取500港元，若超過500港元，則收取總交易金額/價值的0.3%。由2022年2月1日至3月6日，將現金/證券轉移到第三方經紀行/銀行將收取額外的服務費。此外，本公司將於2022年2月1日至2022年3月6日每月從賬戶中收取最少200港元或持有資產的0.25%（以較高者為準）作為維護費。
3. 對於轉入託管賬戶的無人認領資產，將根據其費用結構收取託管代理費。此外，本公司將於2022年3月7日起每月最後一個營業日從賬戶中收取最少200港元或持有資產的0.25%（以較高者為準）作為維護費。
4. 如客戶要求，本公司可於2022年3月6日或之前收到要求後，安排以掛號信或專人寄送實體股票。包括意外保險費在內的相關費用由客戶承擔。如在2022年1月31日或之前收到此類指示，則可免收上述手續費。

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